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## Original article

# Epidemiological characterization of chronic myeloid leukaemia patients at an oncologic centre: A retrospective observational study

Q2 Ana Maria Meireles <sup>a,b,c,\*</sup>, Rita Calisto <sup>c,d</sup>, Maria José Bento <sup>c,d</sup>,  
Pedro Martinho Gouveia <sup>e</sup>, Susana Bizarro <sup>f,g</sup>, Manuel Teixeira <sup>f,g</sup>,  
Cláudia Moreira <sup>a,b,c</sup>, Ana Espírito Santo <sup>a,b,c</sup>, Mário Mariz <sup>a,c</sup>

<sup>a</sup> Department of Hematology and Bone Marrow Transplantation, Instituto Português de Oncologia do Porto (IPO Porto), Porto, Portugal

<sup>b</sup> Clinical Oncology Group, IPO Porto Research Center (CI-IPOP), Instituto Português de Oncologia do Porto (IPO Porto), Porto, Portugal

<sup>c</sup> Group of Epidemiology, Results, Economy and Management in Oncology – Research Center, Porto Comprehensive Cancer Center (Porto. CCC) & RISE@CI-IPOP (Health Research Network), Porto, Portugal

<sup>d</sup> Population Studies Department. School of Medicine and Biomedical Sciences, ICBAS, University of Porto, Portugal Epidemiology Service, Instituto Português de Oncologia do Porto (IPO Porto), Porto, Portugal

<sup>e</sup> Unidade Local de Saúde Santo António, Porto, Portugal

<sup>f</sup> Oncogenetics Group, IPO Porto Research Center (CI-IPOP), Instituto Português de Oncologia do Porto (IPO Porto), Porto, Portugal

<sup>g</sup> Instituto Português de Oncologia do Porto (IPO Porto), Porto, Portugal

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## ABSTRACT

**Background:** The chronic myeloid leukaemia population, treatment patterns and responses in Portugal are unknown. The aim of this study is to describe these features in a Portuguese reference centre.

**Methods:** A retrospective cohort study included patients with chronic myeloid leukaemia, treated between 2012 and 2022 at the Instituto Português de Oncologia of Porto. Data were obtained from the Cancer Registry of the institution and clinical records. Variables included demographic data, treatments administered, responses (hematologic, cytogenetic, major and deep molecular responses), adverse events, and survival. Patients without available data, those treated in a clinical trial context, and those admitted only for hematopoietic transplantation were excluded.

**Results:** Ninety-nine patients were included in this study, with a median age of 52 years (range: 7–84 years) at diagnosis. The first-line treatment was imatinib in 96 patients however 33 required second-line with dasatinib, and 17 discontinued treatment while maintaining response. Regarding responses, 95 (96 %) patients achieved cytogenetic response, 90 (94 %) achieved major molecular response, and 71 (72 %) achieved deep molecular response.

\* Corresponding author. Ana Maria Meireles, Instituto Português de Oncologia do Porto, Rua Dr. António Bernardino de Almeida 865, 4200-072 Porto, Portugal.

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E-mail address: [ana.maria.meireles@ipporto.min-saude.pt](mailto:ana.maria.meireles@ipporto.min-saude.pt) (A.M. Meireles).

At three months, the early molecular response rate was 77 %. At 12 months of treatment, of the 67 patients with response evaluation, 93 % achieved complete cytogenetic response and 49 % major molecular response. Both imatinib and dasatinib were well tolerated. The median follow-up was eight years. The five-year overall survival was 96 %.

**Conclusion:** This study is the first to characterize chronic myeloid leukaemia patients at a Portuguese centre. The patient characteristics, responses, and overall survival were within the expected range according to the literature. This study confirms the good prognosis of chronic myeloid leukaemia and the good responses using imatinib as first-line treatment.

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## 1 Introduction

Chronic myeloid leukaemia (CML) is a myeloproliferative neoplasm that accounts for 15 % of adult leukaemias and whose prevalence has been increasing.<sup>1</sup> This pathology is defined by the presence of a BCR::ABL1 fusion gene, which encodes a protein with the same name. The expression of this fusion protein leads to the activation of signaling pathways. This activation contributes to increased cell division, reduced apoptosis, and altered adhesion to stromal cells/extracellular matrix, leading to the leukaemic phenotype.<sup>2,3</sup>

CML is more common in older individuals.<sup>1</sup> The natural history of the disease includes three phases: a chronic phase, an accelerated phase, and a blastic phase.<sup>4</sup> Until 2000, only 10 % of patients achieved a complete cytogenetic response.<sup>5</sup> However, with the study of the disease's pathophysiology and the subsequent development of tyrosine kinase inhibitors (TKIs), the paradigm and course of this disease have changed substantially.<sup>6</sup>

Currently, CML has become a chronic disease, requiring regular molecular monitoring, adherence to TKI therapy, and proper management of toxicities.<sup>7</sup> Patients adequately treated with these agents, who have a good response to TKI, have a survival rate similar to the general population.<sup>8–12</sup> Moreover, some patients may discontinue TKI therapy and maintain remission. Since the approval of imatinib in 2001, the therapeutic arsenal for CML has expanded with the introduction of other TKIs such as nilotinib, dasatinib, bosutinib, ponatinib, and asciminib.<sup>8–11,13,14</sup> However, clinical trial candidates are not the same as real-world patients, and several authors argue for the need to understand these outcomes.<sup>15,16</sup> Although many countries have epidemiological databases and published literature on real-world outcomes for their CML patients,<sup>17–21</sup> to our knowledge, there are no published studies on these outcomes in Portugal.

The aim of this article is to describe the population of CML patients treated at Instituto Português de Oncologia do Porto (IPO-Porto), including the characterization of the patients, treatment patterns, therapeutic efficacy, documented adverse effects of TKIs, number of candidates for TKI discontinuation, and whether there was a need to resume TKI therapy.

## 3 Materials and methods

This retrospective cohort, single-centre study was conducted at the Haematology and Bone Marrow Transplantation

Department of IPO-Porto. Patients were selected from the Cancer Registry of the institution. The inclusion criteria were: patients with CML (International Classification of Diseases for Oncology, 3rd Edition, ICD-O-3: 9863/3; 9875/3; 9876/3) treated at IPO-Porto between 1/11/2012 and 31/10/2022 and aged 18 years or older during the study period. Patients whose data were unavailable, incorrect diagnoses, patients admitted solely for hematopoietic progenitor cell transplantation, or those treated in a clinical trial context were excluded. The follow-up of patients continued until death, loss to follow-up, or administrative closure of this project in May 2024.

Patient data were collected through electronic medical records and cancer registry. The extracted variables included:

1. At the time of diagnosis: gender, age, Charlson comorbidity index,<sup>22</sup> clinical presentation (number of leukocytes, percentage of peripheral blasts, splenomegaly, constitutional symptoms, disease phase), presence of additional cytogenetic abnormalities, type and quantity of BCR::ABL1 transcript, and prognostic scores: Sokal Index for CML (SOKAL),<sup>23</sup> and EUTOS long-term survival (ELTS) score.<sup>24</sup>
2. During treatment: the treatments administered, hematological, cytogenetic, major and deep molecular responses, adverse events, the need for TKI switch and reasons for the switch, progression, death, cause and date of death were collected.

Response evaluation was conducted according to the European Leukaemia Net 2020 recommendations.<sup>6</sup> Progression was defined as death from any cause, loss of cytogenetic response, or progression to accelerated or blastic phase.

For event-free survival (EFS), an event was defined as the first occurrence of any of the following: death from any cause during treatment, progression to accelerated-phase CML (characterized by  $\geq 15$  % blasts in the blood or bone marrow,  $\geq 30$  % blasts plus promyelocytes in the blood or bone marrow,  $\geq 20$  % peripheral basophils, or thrombocytopenia  $< 100 \times 10^3/\mu\text{L}$  unrelated to treatment), or progression to blast-phase CML (defined by  $\geq 30$  % blasts in the blood or bone marrow or extramedullary involvement, excluding hepatosplenomegaly). Loss of complete hematologic response (CHR) was defined by the occurrence of any of the following in two blood samples obtained at least one month apart: a white blood cell count  $> 20 \times 10^3/\mu\text{L}$ , a platelet count  $\geq 600 \times 10^3/\mu\text{L}$ , the appearance of extramedullary disease,  $\geq 5$  % myelocytes and metamyelocytes in the peripheral blood, or the presence of blasts or

promyelocytes in the peripheral blood. Loss of major cytogenetic response (MCyR) was defined as an increase in Philadelphia chromosome-positive (pH<sup>+</sup>) cells in metaphase by at least 30 percentage points on two cytogenetic analyses performed at least one month apart. An increasing white blood cell count was defined as a doubling to  $>20 \times 10^3/\mu\text{L}$  measured on two occasions at least one month apart in a patient who had never achieved a strict CHR despite receiving maximally tolerated doses of therapy. Overall survival (OS) was calculated from time to death from any cause. The criteria for discontinuing TKI therapy was based on the 2020 European Leukaemia Net guidelines.<sup>6</sup>

## Statistical analysis

For descriptive analysis, categorical variables were presented as frequencies and percentages, and continuous variables as medians and ranges. Survival analysis was performed using the Kaplan-Meier estimator. Statistical analysis was conducted using the software R.

## Ethical consideration

This project was submitted to the Ethics Committee of IPO-Porto (Ref. CES. 79/023). All data were processed in accordance with European and Portuguese data protection laws.

## Results

### Patient characteristics

Data from 157 patients were extracted from the oncologic registry of the IPO Porto. Of these, 58 cases were excluded for the following reasons: a diagnosis other than CML, lack of follow-up at the institution, referral solely for hematopoietic stem cell transplantation, inclusion in clinical trials, or unavailable data.

The median age at diagnosis of the 99 patients included was 52 years (range: 7–84 years), with a slight predominance of males (57 %). Two of the included patients were under 18 at the time of diagnosis, but were followed up only after becoming 18 years of age. The median Charlson comorbidity index was 0 (range: 0–9), and hypertension was the most common comorbidity (40 %). The patient characteristics are detailed in Table 1. Most patients were in the chronic phase at diagnosis ( $n = 84$ ); however, for 15 patients, the disease phase could not be determined. The total of high-risk patients was 14 (14 %) and 5 (5 %) according to the SOKAL and the ELTS scores, respectively. The transcript was classic (e13a2 or e14a2) in 74.8 % of patients. The disease characteristics are described in Table 2.

### Treatment

First-line treatment was imatinib 400 mg (Glivec®) in 96 patients (97 %). Other treatments included nilotinib ( $n = 1$ ), interferon ( $n = 1$ ) and interferon with hydroxyurea ( $n = 1$ ). The median time from diagnosis to initiation of treatment was 13 days (range: 0–45 days).

**Table 1 – Characteristics of the study population.**

	Overall ( $n = 99$ )
Gender – $n$ (%)	
Female	43 (43.4)
Male	56 (56.6)
Age at diagnosis <sup>a</sup>	
Median (range)	52.0 (7.00–84.0)
ECOG – $n$ (%)	
0	84 (84.8)
1	10 (10.1)
2	3 (3.0)
3	1 (1.0)
4	1 (1.0)
Charlson comorbidity index (excluding the presence of CML +2)	
Median (range)	1.00 (0–9.00)
Smoker – $n$ (%)	
No	87 (87.9)
Yes	12 (12.1)
Hypertension – $n$ (%)	
No	59 (59.6)
Yes	40 (40.4)
Dyslipidaemia – $n$ (%)	
No	70 (70.7)
Yes	29 (29.3)
Diabetes – $n$ (%)	
No	84 (84.8)
Yes	15 (15.2)
Previous cardiovascular event – $n$ (%)	
No	93 (93.9)
Yes	6 (6.1)

<sup>a</sup> Although two patients were under 18 years of age at the time of diagnosis, their follow-up in this study only began once they reached 18.

Among patients treated with imatinib, 32 required dose adjustments. Three patients escalated to imatinib 600 mg due to insufficient response. An insufficient response was defined as failure to achieve BCR::ABL1  $<1\%$  (International Scale) at 12 months of TKI therapy. Of the 29 patients who reduced their dose, 13 did so due to toxicity, initially decreasing to 300 mg and later to 200 mg. Sixteen patients reduced their dose due to sustained deep molecular responses ( $\geq 4$  years) before attempting TKI discontinuation. Additionally, 34 (35 %) patients switched TKIs: 30 due to insufficient response and four due to intolerance. Criteria for discontinuing imatinib according the ELN guidelines of 2020<sup>6</sup> was met in 22 patients: 11 maintained molecular responses with TKI suspension and 11 needed to resume treatment, responding quickly to the reintroduction.

Second-line treatment was dasatinib in 33 patients. Other treatments included azacitidine associated with interferon and imatinib. Of these, 11 changed the dose: two increased due to insufficient response, and the remaining nine reduced the dose. Criteria for discontinuing dasatinib was met in one patient, who maintained sustained molecular response.

There was no preferential treatment for 3rd and 4th lines. The treatments administered and their sequence are illustrated in Figure 1. Only two patients were treated with allogenic stem cell transplantation.

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**Table 2 – Baseline characteristics.**

	Overall (n = 99)
Splenomegaly - n (%)	
No	57 (57.6)
Yes	22 (22.2)
Unknown	20 (20.2)
Spleen size (mm below the costal margin)	
Median (range)	0 (0–100)
Missing - n (%)	21 (21.2)
Constitutional symptoms - n (%)	
No	60 (60.6)
Yes	23 (23.2)
Unknown	16 (16.2)
Disease stage - n (%)	
Chronic	84 (84.8)
Unknown	15 (15.2)
SOKAL Score - n (%)	
High	14 (14.1)
Intermediate	32 (32.3)
Low	33 (33.3)
Unknown <sup>1</sup>	21 (21.2)
ELTS Score - n (%)	
High	5 (5.1)
Intermediate	26 (26.3)
Low	47 (47.5)
Unknown	21 (21.2)
Additional cytogenetic abnormalities at diagnosis - n (%)	
No	66 (64.6)
Yes <sup>a</sup>	4 (4.0)
Unknown <sup>b</sup>	29 (29.3)
Transcript type - n (%)	
e13a2	36 (36.4)
e14a2	33 (33.3)
e13a2 and/or e14a2	5 (5.1)
e1a2	3 (3.0)
e19a2	1 (1.0)
Not found	1 (1.0)
Missing	20 (20.2)
<sup>a</sup> 3 patients had a second pH, and the other clonal evolution – 1.	
<sup>b</sup> Unavailable data.	

## 162 TKI domain mutation testing

163 All the patients who had treatment failure (n = 31) underwent  
 164 TKI domain mutation testing, confirming mutations in eight  
 165 patients: one mutation conferred partial resistance to imatinib  
 166 (p.(Cys475\*)); five conferred complete resistance (Q252R,  
 167 M388L, M244V, F311L, G250R); one patient had two mutations  
 168 conferring resistance to imatinib and nilotinib simulta-  
 169 neously (E255V and Y253H), as is described in Table 3. The  
 170 remaining mutations had indetermined significance, includ-  
 171 ing c.708G>T, p.(Glu236Asp): and N322S. The majority of these  
 172 mutations are not mentioned in reports as they are not signif-  
 173 icant.

## 174 Adverse effects

175 During the median follow-up of 8.25 years (Interquartile  
 176 Range [IQR]: 7.14 years), the most common adverse events of  
 177 imatinib including all lines of treatment (n = 101 – two

patients who restarted imatinib at a later point were counted 178  
 as having an additional line of therapy) were: gastrointestinal 179  
 (39 %), myalgias (23 %), oedema (19 %), hematologic changes 180  
 (13 %), fatigue (13 %), arthralgias (11 %), and rash (6 %). For 181  
 dasatinib (n = 36), the most notable effects were: pleural effu- 182  
 sion (25 %) and hematologic changes (8 %). For bosutinib 183  
 (n = 3), one patient experienced cardiac toxicity and another 184  
 gastrointestinal toxicity. For nilotinib (n = 2), one patient expe- 185  
 rienced critical limb ischemia. Only one patient was treated 186  
 with asciminib, experiencing hypertension. 187

The adverse events reported prompted a change of TKI due 188  
 to intolerance in 4 % of patients treated with imatinib, 11 % 189  
 with dasatinib (pleural effusion), and 50 % of patients treated 190  
 with nilotinib. Grade 3 and 4 adverse events by drug are 191  
 shown in Table 4. 192

## Responses and survival

During the follow-up, 98 patients (99 %) achieved a complete 194  
 hematologic response, 95 (96 %) achieved a complete cyto- 195  
 genetic response, 90 (94 %) achieved a major molecular 196  
 response, and 71 (72 %) reached a deep molecular response. 197  
 At three months, 77 % of patients had achieved an early 198  
 molecular response. By 12 months, 93 % achieved a complete 199  
 cytogenetic response, 49 % achieved a major molecular 200  
 response, and 21 % reached a deep molecular response. 201  
 Among patients treated exclusively with imatinib, 95.7 % (45/ 202  
 47) achieved a cytogenetic response by 12 months, and 96.7 % 203  
 (58/60) achieved it during the study follow-up. Among 204  
 patients with a high risk according to the ELTS score, 75 % 205  
 achieved a complete cytogenetic response within the first 206  
 year. 207

During the study period, seven patients lost hematologic 208  
 or cytogenetic response, though none progressed to the accel- 209  
 erated or blast phase. The median progression free survival 210  
 was 268 months. The one-year event-free survival rate was 211  
 84 %, and at five years, it was 53 %. No patients were excluded 212  
 due to loss to follow-up. Of under 45-year-old patients (n = 19), 213  
 47 % achieved a deep molecular response. Overall survival 214  
 was 88 %, with no deaths due to CML-related causes (Figure 2). 215  
 The five-year survival rate was 96 %. 216

## Discussion

This study is, to our knowledge, the first to describe patients 218  
 with CML in Portugal. The patient population exhibited char- 219  
 acteristics similar to those seen in clinical trials, with a simi- 220  
 lar age (52 years), and small proportion of high-risk patients 221  
 according to the ELTS score.<sup>8–11</sup> Imatinib was the main first- 222  
 line therapy, with good tolerance. A third of patients needed a 223  
 second line and a fifth successfully discontinued TKI. The 224  
 rate of Grade 3 and 4 complications was higher in patients 225  
 with TKI of higher generations. The responses achieved were 226  
 comparable to expectations, with a complete cytogenetic 227  
 response of 93 % and major molecular response at 12 months 228  
 of 49 %, as was the overall survival. 229

This study reinforces the good outcomes currently 230  
 achieved in CML. All TKIs are highly effective in newly diag- 231  
 nosed chronic-phase CML, with long-term overall survival 232



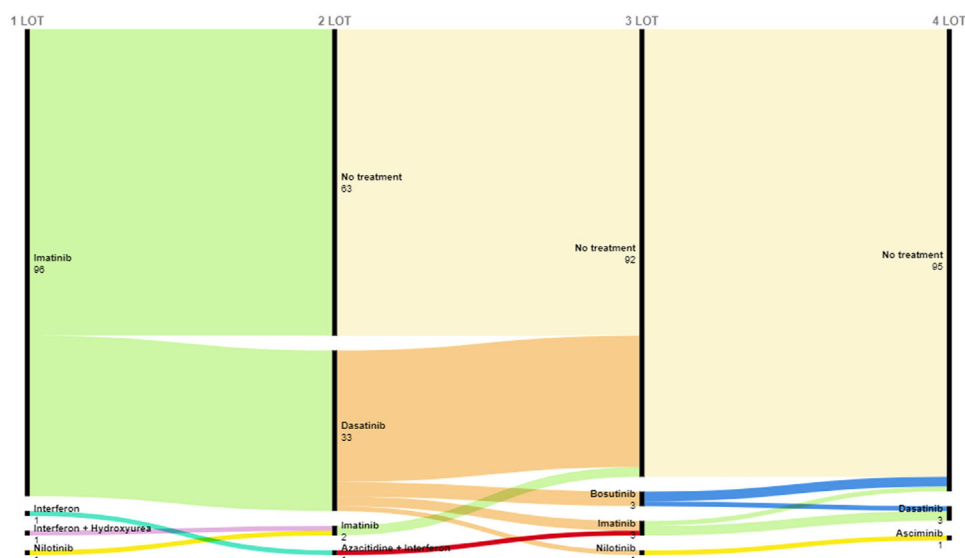


Figure 1 – Treatment patterns during the study period.

**Table 3 – Identified mutations which configured resistance to tyrosine kinase inhibitors.**

Mutation	Resistance
Q252R	Resistance to Imatinib
Y253H	Resistance to Imatinib and Nilotinib
G250R	Insensitivity to Imatinib
p.(Cys475Tyrfs*11) in ABL1	Resistance to Imatinib
F311L	Insensitivity to Imatinib
p.(Cys475*)	Of controversial significance, reported as conferring partial resistance to Imatinib
M244V	Resistance to Imatinib
M388L	Insensitivity to Imatinib

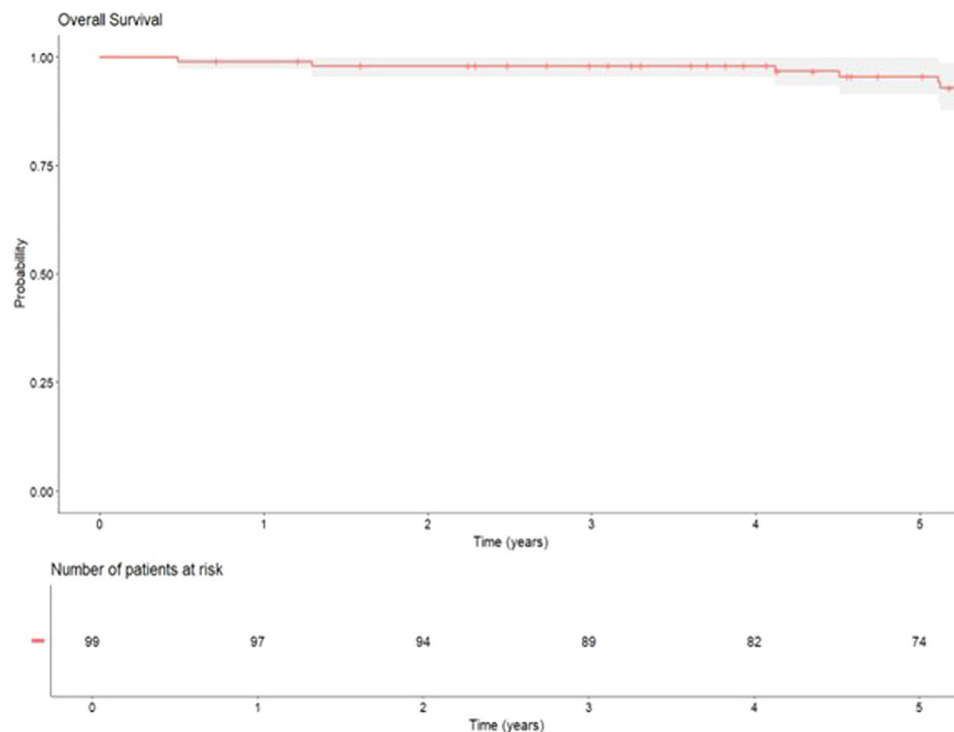
good tolerability of TKIs was also confirmed. In this sample, as in the DASISION trial, patients treated with imatinib had more myalgias and peripheral oedema, and those treated with dasatinib had more pleural effusion. Although rare, one patient treated with dasatinib developed pulmonary hypertension.<sup>9</sup> As demonstrated in the ENESTnd trial, the risk of cardiovascular events was higher in patients treated with nilotinib, even in a small sample ( $n = 4$ ).<sup>11</sup>

Several real-world studies have evaluated the efficacy of first-line imatinib treatment in countries such as Italy<sup>17</sup> and Spain.<sup>18</sup> These studies showed responses comparable to the present study, with major molecular responses at one year of around 50 %. Other studies evaluated not only first-line imatinib but also second-generation TKIs, including countries like Switzerland,<sup>19</sup> The Netherlands<sup>20</sup> and Italy<sup>21</sup> with heterogeneous treatment patterns and response evaluations. Therefore, comparing study results is difficult.

The selection of first-line treatment remains controversial. Several authors advocate starting treatment with a second-generation TKI<sup>26</sup>; one meta-analysis even recommended the use of second- and third-generation TKIs for younger individuals without comorbidities.<sup>27</sup> However, the choice of first-line TKI involves considerations not only of age and comorbidities, but also of treatment intent (survival versus TKI discontinuation), risk scores, costs, and availability.<sup>25,28,29</sup>

**Table 4 – Grade 3 and 4 toxicities documented during the study.**

	Imatinib ( $n = 103$ )	Dasatinib ( $n = 33$ )	Bosutinib ( $n = 3$ )	Nilotinib ( $n = 4$ )	Asciminib ( $n = 1$ )
Myalgias	1 (1 %)	0 (0 %)	0 (0 %)	0 (0 %)	0 (0 %)
Pancreatitis	1 (1 %)	0 (0 %)	0 (0 %)	0 (0 %)	0 (0 %)
Rash	2 (2 %)	0 (0 %)	0 (0 %)	0 (0 %)	0 (0 %)
Hematologic toxicity	1 (1 %)	0 (0 %)	0 (0 %)	0 (0 %)	0 (0 %)
Pleural effusion	0 (0 %)	4 (12 %)	0 (0 %)	0 (0 %)	0 (0 %)
Cardiovascular event	0 (0 %)	0 (0 %)	0 (0 %)	1 (25 %)	0 (0 %)
Hepatic toxicity	0 (0 %)	0 (0 %)	0 (0 %)	1 (25 %)	0 (0 %)



**Figure 2 – Overall survival of patients with chronic myeloid leukaemia over ten years of follow-up.**

271 This study shows that first-line imatinib, even for higher-  
 272 risk and younger patients, continues to be a good option,  
 273 allowing for excellent responses, good tolerability, and lower  
 274 financial burden on the National Health Service.

275 As already mentioned, this study analyses the Portuguese  
 276 population with CML, including treatment patterns,  
 277 responses, and adverse effects. Characterizing this popula-  
 278 tion is relevant because it may have different characteristics  
 279 from other populations and because of the unique organiza-  
 280 tion of the Portuguese healthcare system. The data from this  
 281 study could potentially inform future therapeutic decisions  
 282 regarding CML at a national level and improve care for these  
 283 patients.

284 This study has limitations, including the small sample  
 285 size, especially concerning the number of patients treated  
 286 with second-generation TKIs and inhibitors other than imati-  
 287 nib, which limits the conclusions regarding these drugs.

288 Additionally, due to its retrospective nature, this study is sub-  
 289 ject to information bias, given that some data were not  
 290 available.

## Conclusion

291 This is first study to characterize the Portuguese CML patient.  
 292 The features, responses, survival, and adverse effects of the  
 293 population are similar to those described in the literature.  
 294 Furthermore, this study reinforces the good efficacy-tolerabil-  
 295 ity profile of imatinib as a first-line treatment. A more  
 296 detailed understanding of the population, treatment patterns,  
 297 and outcomes in Portugal could improve the clinical practice  
 298 in the country.

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 300 tuguesa Contra a Leucemia (APCL).  
 301

**Q6 Table 5 – Comparison of the main findings of the present study with other studies in literature.**

Variable	Present cohort	Italian cohort <sup>1</sup>	Australian cohort <sup>2</sup>	Brazilian cohort <sup>3</sup>	Spanish cohort <sup>4</sup>
n	99	226	86	227	62
Age (median)	53	60	55	50	40
First line treatment	Imatinib 400 mg (97 %)	Imatinib 400 mg (86 %)	Imatinib 400 mg	Imatinib 400 mg (80 %)	Imatinib 400 mg (89 %)
MMR at 12 months (%)	49	55	44	56	91 in all follow-up
Follow up	2012–2022	2008–2012 (followed until 2015)	2001–2018	2007–2017	–
5-year EFS (%)	53 %	93	76	–	–
5-year survival (%)	98	85	94	91	100

CCyR, complete cytogenetic response; MMR, major molecular response; EFS, event free survival.

## Conflicts of interest

The authors declare no conflicts of interest.

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