Original article

Chronic transfusion therapy effectiveness as primary stroke prophylaxis in sickle cell disease patients

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ABSTRACT

Introduction: About 10% of sickle cell anemia patients will have ischemic stroke. Adams showed stroke incidence reduction in children receiving monthly erythrocyte transfusions by reducing transcranial Doppler (TCD) velocities. Since then, chronic transfusion is recommended as primary stroke prophylaxis. This study aims to assess the effectiveness of chronic transfusions as stroke prophylaxis.

Method: Retrospective study, reviewing medical records from 15 sickle cell anemia patients undergoing chronic transfusion. Data collected were age, sex, adverse reactions, stroke, hemoglobin, reticulocytes, ferritin, HbS and TCD values (baseline, after 12 and 24 months of treatment).

Results: The mean age was 118.67 ± 41.40 months; six patients experienced allergic reactions. No stroke was recorded. One patient had alloimmunization. There was a decrease in the HbS rate and an increase in hemoglobin values in the first 12 months. Values were maintained after 24 months, but with no improvement of data. Before treatment, the mean HbS rate was 75.18% ± 11.69; after 12 months, 41.63 ± 14.99 and after 24 months, 43.78 ± 10.6. Thirteen patients initiated chelation after 12 months from the beginning of chronic transfusions and ferritin decline after 24 months. Pre-transfusional TCD velocities were 204.28 ± 9.41 cm/s (right) and 198.85 ± 33.37 cm/s (left). After a 12-month treatment, these values were 158.5 ± 28.89 cm/s and 157.62 ± 34.43 cm/s, respectively, and this reduction was statistically significant (p = 0.002 right and p = 0.02 left). After 24 months, these values were 149.63 ± 26.95 cm/s (right) and 143.7 ± 32.27 cm/s (left).

Conclusion: Significant reduction of TCD velocity occurred after treatment with chronic transfusion in sickle cell anemia patients, leading to a normal or conditional test and reducing stroke risk in all but one patient.

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Introduction

Sickle cell disease (SCD) is the most common severe mono-
genetic disorder in the world. It is estimated that 25–30
thousand people in Brazil live with this diagnosis. The new
case incidence is approximately 3500 cases a year.1 The pre-
escence of hemoglobin S, in situations of hypoxia or dehydration
can lead to its polymerization, causing erythrocyte rigidity and
vascular occlusion, which is the central pathophysiology of the
disease. Chronic hemolysis is a hallmark of the disease and is
both intra- and extravascular.2

Stroke physiopathology in SCD implicates in sickled red
blood cell, causing acute vascular occlusion, associated with
previous vasculopathy, mainly in distal intracranial internal
carotid artery segments and proximal portions of the mid-
dle and anterior cerebral arteries. Vasculopathy results from
fibroblast proliferation in the vascular intima. This narrowing
appears in MRI as much as in transcranial Doppler ultrasonog-
raphy (TCD) due to rising blood flow velocities in the studied
vessels.3 Thus, since it is less expensive, offers less risk to
patients and is highly accurate, the TCD is widely used as
stroke risk assessment.3,4

One in 10 sickle cell disease patients will suffer a stroke,5
this being one major cause of death in this population.6
The isquemic form is predominant in children, whereas the
hemorrhagic form is more common in adulthood. There’s
a bimodal peak incidence between 2–5 years and after 29
years, with decreased incidence from 10 to 19 years.2,8
Most pediatric patients recover without physical sequelae
after proper treatment, nevertheless cognitive sequelae may
persist.9 Therefore, focus on primary prevention is important.

In 1998, the STOP study found a 92% reduction in stroke
incidence in children undergoing chronic transfusion. Higher
risk patients were found by TCD values; they must not
have had a previous cerebrovascular event. Since its publica-
tion, chronic transfusion is recommended as primary stroke
prophylaxis.9 These patients also had fewer vasoculosi-
crisis (VOC) and acute chest syndrome (ACS) episodes.5
Another retrospective study showed that TCD screening and
use of regular transfusions in high-risk patients decreased the
annual stroke rate from 0.44 to 0.19 per 100 person-years.10
Still, risks associated with this therapy, such as alloimmuniza-
tion, iron overload and disease transmission, are relevant.

This study aims to assess chronic transfusion effectiveness
as primary stroke prophylaxis in sickle cell disease patients.

Method

This is a retrospective study, approved by the Ethics Com-
mittee of the Universidade Federal de São Paulo – UNIFESP
(CAAE: 68549617.3.0000.5505). Medical records from 23 sickle
cell disease patients undergoing chronic transfusion, from
January 2008 until June 2016 were reviewed. Laboratorial tests
data were found in electronic charts. Patients with less than
12 months of treatment, incomplete data or previous stroke
were excluded.

Sickle cell disease patients (HbSS and HbSβ+) between 2–16
years are screened annually for stroke risk with TCD, as per

Results

From the 23 selected patients, 8 were excluded because of
previous stroke. Fifteen patients were included (HbSS). Their
mean age was 118.67 ± 41.40 months; 40% were male and 60%
were female. The mean transfusion treatment period was
45.60 ± 11.28 months. Six patients experienced adverse reac-
tions (40%); all of them were allergic reactions. No stroke was
recorded. Alloimmunization was observed in only one patient
(6.67%).

The sickle hemoglobin percentage and hemoglobin bas-
eline, before and after 12 and 24 months of chronic transfusion,
are shown in Table 1. There was a significant decrease in the
HbS rate and a significant increase in hemoglobin in the first
12 months. The values were maintained after 24 months, but
with no improvement of data.

Only 2 patients have not experienced iron overload.
Thirteen left initiate chelation with deferasirox after approxi-
mately 12 months from the beginning of chronic transfusions
(range 6–40 months). Chelation did not show a significant
effect on ferritin rates in the first 12 months after treatment.
However, it has a statistically significant effect on the ferritin
Table 1 – Hemoglobin and sickle hemoglobin before and after chronic transfusion.

<table>
<thead>
<tr>
<th></th>
<th>Mean ± SD Before</th>
<th>Mean ± SD After 12 m</th>
<th>Mean ± SD After 24 m</th>
<th>P1</th>
<th>P2</th>
<th>P3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hb (g/dL)</td>
<td>8.09 ± 0.80</td>
<td>8.85 ± 0.81</td>
<td>9.02 ± 0.95</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
<td>0.19</td>
</tr>
<tr>
<td>HbS (%)</td>
<td>75.18 ± 11.69</td>
<td>41.63 ± 14.99</td>
<td>43.78 ± 10.65</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
<td>0.32</td>
</tr>
</tbody>
</table>

Student’s t test.
P1: before x after 12 months of transfusions.
P2: before x after 24 months of transfusions.
P3: after 12 months of transfusions.

Table 2 – Serum ferritin values before transfusion, before chelation and after 12 and 24 months of chelation.

<table>
<thead>
<tr>
<th></th>
<th>Before Transfusion</th>
<th>Before Chelation</th>
<th>After 12m of chelation</th>
<th>After 24m of chelation</th>
<th>P1</th>
<th>P2</th>
<th>P3</th>
<th>P4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Median</td>
<td>222</td>
<td>1579</td>
<td>1101</td>
<td>542</td>
<td>0.003</td>
<td>0.33</td>
<td>0.03</td>
<td>0.12</td>
</tr>
<tr>
<td>Minimum</td>
<td>40</td>
<td>310</td>
<td>191</td>
<td>293</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Maximum</td>
<td>2464</td>
<td>3318</td>
<td>3718</td>
<td>3169</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Wilcoxon Ranked Test.
P1 = before transfusion x before chelation.
P2 = before chelation x after 12 months of chelation.
P3 = before chelation x after 24 months of chelation.
P4 = before transfusion x after 24 months of chelation.

Fig. 1 – Serum ferritin distribution before transfusions, before iron chelation and after iron chelation.

decline after at least 24 months and it can be compared to levels before transfusion. Data is shown on Table 2 and in Fig. 1.

TCD flow velocities before and after treatment are in Table 3. After 12 months of treatment, there was a significant reduction in velocities. After 24 months of transfusions, these values were still dropping. However, when data between 12 and 24 months were compared, there was no significant velocity reduction. After at least 24 months of chronic transfusions, three patients persisted with conditional TCD, one patient maintained abnormal velocities and all others changed to normal.

Discussion

Adams et al. saw a 92% reduction in stroke risk for chronic transfused patients in their STOP study. In 2016, the TWiTCH study was published. It tested the hydroxyureide (hydroxyurea) non-inferiority after chronic transfusion therapy withdrawal in maintenance of normal TCD velocities in primary sickle cell disease prophylaxis. Patients were followed for 24 months after randomization, with periodic TCD tests. In both arms, TCD velocities were kept normal. The alternative arm achieved iron overload reduction, while it remained the same in the standard group. No stroke was reported. Therefore, the authors concluded that hydroxyurea is an alternative for primary stroke in sickle cell disease prophylaxis for patients with at least 1 year of chronic transfusion and no vasculopathy.

In this study, there was important decrease in TCD velocities after chronic transfusion treatment in sickle cell disease patients. It turned an abnormal into a normal or conditional test in all but one patient, suggesting lower stroke risk. However, TCD rates have not continued dropping, but rather have only been maintained.

The advantages of maintaining chronic transfusion therapy are undeniable and very well established in the current literature. However, the viability of maintaining this practice in most Brazilian health centers is questionable, since a minimum technological arsenal is needed, and this is not yet available throughout the country.
Aygun et al. made an important observation regarding chronic transfusion therapy: they claimed that in a real world situation it is difficult to maintain HbS <30% and that maybe this would not necessarily do harm to patients, since values above 30% were verified while conducting the TWiTCH study and even in the STOP study. It was found that the mean pre-transfusion HbS of chronic transfusion patients in the most important academic health centers in the United States was 34%, while the 75th and 90th percentiles were 41% and 50%, respectively. It was also found that the most important variables to achieve HbS <30% were low age, less time on chronic transfusion and whether the transfusions had occurred on the correct dates (4 weeks after the last transfusion). The study highlighted technical difficulties in following the guidelines given by large studies, taking into account obstacles such as adherence of the patient and his or her family in attending to the correct transfusion date, hypersplenism, development of auto- and alloantibodies, and attainment of peripheral venous access.13

In this study, patients haven’t achieved HbS below 30% after chronic transfusions. Apheresis machines were not available in our hospital and we do not have enough staff members to perform exchange transfusion. Due to this, only simple transfusion is offered to all patients. Only one patient had an alloantibody and the hypersplenism was not documented. It is presumed that an HbS rate over 30% may be due to an incomplete marrow suppression, maintaining the HbS production.

Another important criticism of chronic transfusion therapy is its adverse effects, mainly such as iron overload and alloimmunization. Ethnic disparities between blood recipients and donors increase the risk of developing alloantibodies. It is known that the antibodies produced are generally against antigens prevalent in the white population, the predominant donor ethnicity, whereas the receptors are mostly black. In Brazil, the profile of blood donors in 2014 was found to be 49.45% white, 37.48% brown, and only 11.87% black.14 In Uganda and Jamaica, where the population is more uniform, alloimmunization rates are lower: 6.1% and 2.6%, respectively. In the USA, sickle-cell alloimmunization rates range from 20 to 50%, but among thalassemic patients (who have greater ethnic compatibility with blood donors) this rate is 10%. The earlier initiation of transfusions, as in the case of thalassemia, may possibly induce greater antigenic tolerance in the blood receptors.15

A study performed in the state of Alagoas, published in 2011, showed that the prevalence of alloimmunization in patients with the SS genotype was 12.7%. Seventy percent of the antibodies belonged to the Rh and Kell blood groups. The median age was 11.5 years.16 In Minas Gerais, in 2005, a group saw 9.9% alloimmunization in patients with sickle cell disease with 23.3 years as median age, 79% of which belonged to the Rhesus and Kell systems.17 Another study conducted in São Paulo, but with a median age of 25 years, found an alloimmunization rate among sickle cell disease patients of 22.6%. The anti-Kell antibody was the most frequent (7.5%), followed by the anti-C (5.7%).18 These data reinforce the need to use phenotyped components in order to reduce alloimmunization rates. Vichinsky et al. found a reduction in the alloimmunization ratio using extended compatibility for the antigens of the Rh (D, C, E) and Kell groups.19

Chou et al., in a study published in 2013, found that red blood cell transfusions from African-descent donors failed to reduce the alloimmunization rate. In this study, a high rate of unexplained Rh antibodies was verified, for example, in patients positive for an antibody-specific antigen or antibody formation in patients negative for a given antigen and who had received negative blood transfusion for the same antigen. Thirty-five percent of these unexplained antibodies had a clinical repercussion, with a delayed transfusion reaction. These clinically significant antibodies occurred not only in multi-transfused patients, but also in patients transfused only one time, suggesting that chronic transfusion patients remain at risk of developing significant alloantibodies, contrary to previous reports that found such antibodies only up to 6 months after the chronic transfusion had started. High-resolution genotyping of these patients revealed that 87% inherited at least one Rh variant allele, which could potentially encode altered or partial antigens that may not be distinguished from traditional antigens by simple serological tests.20,21

Thus, it is suggested that the best practice is prior patient genotyping and transfusion of compatible blood components. However, due to the high cost, this practice is not yet widely available in Brazilian public health services. In this study, extended phenotyping was done for all patients before the first transfusion and alloimmunization was seen in only one patient (6.67%). Nevertheless, not using genotyping for a completely compatible transfusion did not elevate the alloimmunization ratio in this study. Other factors may have contributed to lower the alloimmunization rate, as the transfusions were always performed at the same institution, avoiding the risk of transfusions done at institutions not providing extended matching. Furthermore, children with SCD who are chronically transfused might have less chronic inflammation and may have a decreased immunologic response to alloantigens.17

Another major disadvantage of chronic transfusion is the imminent iron overload. However, with the use of oral iron chelators and the possibility of transition to therapy with

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**Table 3 - Transcranial Doppler ultrasonography (TCD) velocities before and after chronic transfusion.**

<table>
<thead>
<tr>
<th>TCD cm/s Right</th>
<th>Mean ± SD Before</th>
<th>Mean ± SD After 12 m</th>
<th>Mean ± SD After 24 m</th>
<th>P1</th>
<th>P2</th>
<th>P3</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>204.28 ± 9.41</td>
<td>158.50 ± 28.89</td>
<td>149.63 ± 26.95</td>
<td>0.002</td>
<td>&lt;0.001</td>
<td>0.32</td>
</tr>
<tr>
<td>TCD cm/s Left</td>
<td>198.85 ± 33.37</td>
<td>157.63 ± 34.43</td>
<td>143.7 ± 32.27</td>
<td>0.02</td>
<td>0.005</td>
<td>0.084</td>
</tr>
</tbody>
</table>

Student’s t test:
P1: before x after 12 months of transfusions.
P2: before x after 24 months of transfusions.
P3: after 12 months of transfusions x after 24 months of transfusions.
hydroxyurea after 1 year of blood transfusion, this adversity can be overcome.

Hepatic iron overload can lead to fibrosis and inflammation, leading to elevated risk of cirrhosis and hepatocarcinoma. Therefore, iron chelation in polytransfused patients is mandatory. Patients should be screened for serum ferritin levels and must initiate chelation when levels are above 1000 μg/L. Ferritin, however, is not a good marker for chelation response; a prospective study found a significant decrease in liver iron concentration (LIC) after 1 year of chelation, whereas a decrease in ferritin values was only achieved after 5 years. In spite of this, in the Brazilian public health system we do not have another way to manage iron overload other than ferritin levels. The use of oral iron chelation (deferasirox) provides good patient compliance.15,22

Even today, chronic transfusion is the only proven therapy capable of preventing stroke in sickle cell children. Recent studies have demonstrated the efficacy of hydroxyurea in maintaining TCD velocities stable, but patients at higher risk (with abnormal TCD velocity) should have at least 1 year of chronic blood transfusion. These studies, although promising, were short-term studies (24 months), and, therefore, longer ones are needed to confirm these benefits. Since we are dealing with adverse effects as deleterious as those resulting from chronic transfusion, we are forced to seek new alternatives to this therapy.

The major limitations of this study were its small sample size and retrospective nature.

Conclusion

Regardless of its small sample size and retrospectively collected data, this study showed that chronic transfusion was as effective as primary stroke prevention in high-risk sickle cell disease patients. Significant reduction of the TCD velocity occurred after treatment with chronic transfusion in sickle cell disease patients, leading to a normal or conditional test and reducing the stroke risk for the majority of patients.

Conflicts of interest

The authors declare no conflicts of interest.

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